

Summary of the key issues in relation to reports given a "limited assurance" opinion.

Section 106

The main findings were that:-

- The number of section 106 agreements has drastically decreased however of the 3 sampled, none had been properly recorded in terms of the details required or timeliness of input. There is a risk that section 106 agreements are not adequately monitored for either receipt of income or completion of projects by the due date.
- A lack of liaison between Planning and Accountancy as to when payments are received
- Follow up action is still required on a number of outstanding section 106 obligations
- Reconciliations are not undertaken between the accountancy and Uniform systems
- No process for reminding departments in respect of monies due to be spent – this could lead to a risk of the funds being clawed back
- An annual report has not been presented to the Planning Committee providing details of all Section 106 agreements

The Economic Growth Manager will be attending the meeting to provide a further update.

ICT Network Security

The main points arising were:-

- ICT policies have not been approved and conveyed to staff
- There is no system in place to monitor the transfer of data to unsecure e mail addresses
- Sophos End Point Protection is the main protection for the council computers used by employees. This is installed on all computers within the council however -
 - It was evidenced that there were 244 machines with errors on the Sophos software, these have not been reviewed.
 - At the time of the audit there was a total of 96 machines without protection from Sophos across the council, these include printers, scanners and incompatible servers (e.g. Linux). it was established that a review of this list had not been completed recently to ensure that no computers were on the list.
 - The only way to establish that a machine is not protected is by a reconciliation of current machines to protected machines. It was established that a reconciliation does not take place
 - The management software keeps a record of machines that have missed updates however it was established that a machine that had not been updated since June 2017 was not recorded on this list.
- At the time of the audit only 43% of users had completed the on line IT security training
- Server room door codes had not been changed for 2 years

The Customers, Commissioning and Change Manager will be attending the meeting to provide a further update.